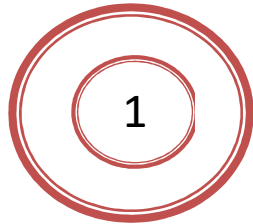


WEEKLY UPDATES ON COMPANY LAW ,SEBI AND RBI

Week 45 – November 05 to November 11, 2018

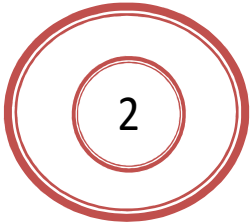


Companies Act 2013 during the week

Rules - 0; Circulars - 0; Notifications – 0; Orders- 0; Important Notices - 0

S.No	Date of Issue	Rules/Circular/ Notification/order	Contents thereof	Gist thereof
1				

S.No.	GENERAL IMPORTANT NOTICES	Remarks
	-	-



SEBI during the week

Act -0; Rules -0 ; Regulations-0; General Orders – 0; Guidelines- 0;

Master Circulars-0; Circulars – 2; Press Release –0; Others -0

S.No	Date of Issue	Act/rules/circulars..	Subject & Link	Gist thereof
1	06.11.2018	Circular	Standardised norms for transfer of securities in physical mode	<p>SEBI has issued standardised procedure for share transfer by listed companies through their RTA in case</p> <ul style="list-style-type: none"> a. Non availability of PAN for the transferor for transfer deeds executed prior to December 01, 2015 b. Mismatch of name in Pan card vis a vis name on share certificate/transfer deed c. Major mismatch/non availability of transferor’s signature

2	13.11.2018	Circular	Guidelines for Enhanced Disclosures by Credit Rating Agencies (CRAs)	<p>SEBI has issued guidelines to Credit Rating Agencies on their disclosures.</p> <ol style="list-style-type: none"> 1. Disclosure in the press release regarding rating actions 2. Review of rating criteria 3. Disclosure of average rating transition rates for long term instruments 4. Disclosure of performance of CRAs on stock exchange and depository website 5. Internal Audit of CRAs.
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S.No.	GENERAL IMPORTANT NOTICES	Remarks
1	-	-

3

RBI during the week

Notifications -0; Master Directions –0; Master Circulars – 0; Circular - 0;

Press Release - 0

S.No	Date of Issue	Notifications/Master Directors/Master Circulars	Subject & Link	Gist thereof
	-	-	-	-

S.No.	GENERAL IMPORTANT NOTICES
	-



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